

# Deadlocks

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# Example 1

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# Deadlocks

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- ***Deadlocks***: Occur when threads are waiting for resources with circular dependencies
  - Often involve ***nonpreemptable resources***, which cannot be taken away from its current thread without failing the computation (e.g., storage allocated to a file)

# Deadlocks

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- Deadlocks that involve *preemptable resources* (e.g., CPU) can usually be resolved by reallocation of resources
- *Starvation*: a thread waits indefinitely
- A deadlock implies starvation

# An Example of Deadlocks

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Thread A

Thread B

P(x);

P(y);

P(y);

P(x);

- A deadlock won't always happen with this code, but it might

# Deadlocks, Deadlocks, Everywhere...

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- Can happen with any kind of resource
  - Among multiple resources
- Cannot be resolved for each resource independently
  - A thread can grab all the memory
  - The other grabs all the disk space
  - Each thread may need to wait for the other to release



# Deadlocks, Deadlocks, Everywhere...

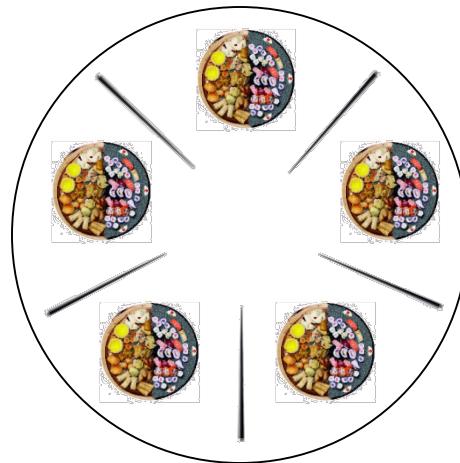
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- Round-Robin CPU scheduling cannot prevent deadlocks (or starvation) from happening
- Can occur whenever there is waiting...

# A Classic Example of Deadlocks

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- Dinning Philosophers
- Each needs two chopsticks to eat







# Dining Philosophers

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- If each first grabs the chopstick on their right before the one on their left, and all grab at the same time, we have a deadlock

(Personally, I prefer to starve than share chopsticks...)

# A Dining Philosophers Implementation

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```
semaphore chopstick[5] = {1, 1, 1, 1, 1};
```


```
person(int j) {  
    while (TRUE) {  
        P(chopstick[j]);  
        P(chopstick[(j + 1) % 5]);  
        // eat  
        V(chopstick[(j + 1) % 5]);  
        V(chopstick[j]);  
    }  
}
```

# A Dining Philosophers Implementation

---

```
// chopstick[5] = {0, 0, 0, 0, 0};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[j]);  
        P(chopstick[(j + 1) % 5]);  
        // eat  
        V(chopstick[(j + 1) % 5]);  
        V(chopstick[j]);  
    }  
}
```




# A Dining Philosophers Implementation

---

```
// chopstick[5] = {0, 0, 0, 0, 0};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[j]);  
        P(chopstick[(j + 1) % 5]);  
        // eat  
        V(chopstick[(j + 1) % 5]);  
        V(chopstick[j]);  
    }  
}
```



# Conditions for Deadlocks

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- Four necessary (but not sufficient) conditions
  - Limited access (lock-protected resources)
  - No preemption (if someone has the resource, it cannot be taken away)
  - Wait while holding (holding a resource while requesting and waiting for the next resource)
  - Circular chain of requests



# Deadlock Prevention Techniques

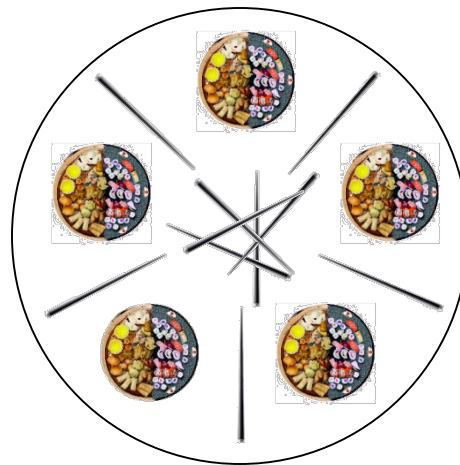
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- When encountering a deadlock
  - All four conditions must be true
- To prevent deadlocks
  - Remove one of the four conditions

# Deadlock Prevention Techniques

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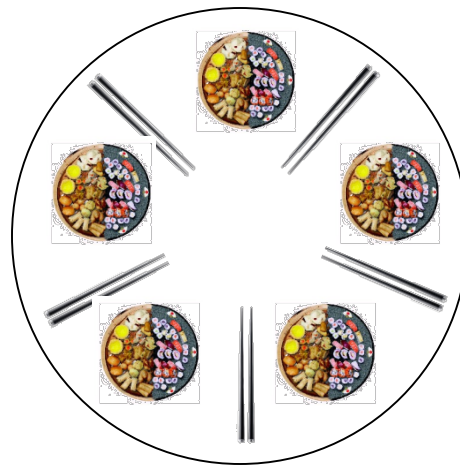
1. Infinite resources (buy a very large disk)



# Deadlock Prevention Techniques

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## 2. No sharing (independent threads)







# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

easier said than done...

# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
semaphore chopstick[5] = {1, 1, 1, 1, 1}, s = 1;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
semaphore chopstick[5] = {1, 1 → 0, 1 → 0, 1, 1}, s = 1 → 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```

1



# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
1 ↓
3 ↓
// chopstick[5] = {1, 0, 0, 1, 1}, s = 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 1, 1, 1, 1}, s = 1;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        P(s);
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 1 → 0, 1 → 0, 1, 1}, s = 1 → 0 → 1;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        P(s);
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```

1



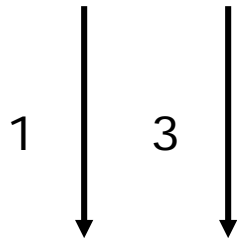
# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0, 1 → 0, 1 → 0}, s = 1 → 0 → 1;
```

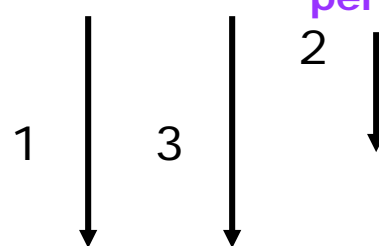
```
person(int j) {  
    while (TRUE) {  
        P(s);  
  
        P(chopstick[j]);  
        P(chopstick[(j + 1) % 5]);  
  
        V(s);  
  
        // eat  
  
        P(s);  
  
        V(chopstick[(j + 1) % 5]);  
        V(chopstick[j]);  
  
        V(s);  
    }  
}
```



# Deadlock Prevention Techniques

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0, 0, 0}, s = 1 → 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]); // deadlock
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
```





# Deadlock Prevention Techniques

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0, 0, 0}, s = 0;
person(int j) {
    2 ↓ while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        P(s); // deadlock
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
        V(s);
    }
}
1 ↓
3 ↓
```



# New Solution

---

- Don't lock around the chopsticks that are being released

# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 1, 1, 1, 1}, s = 1;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
    }
}
```

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 1 → 0, 1 → 0, 1, 1}, s = 1 → 0 → 1;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
    }
}
```

1



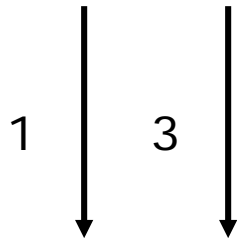
# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0, 1 → 0, 1 → 0}, s = 1 → 0 → 1;
```

```
person(int j) {  
    while (TRUE) {  
        P(s);  
        P(chopstick[j]);  
        P(chopstick[(j + 1) % 5]);  
        V(s);  
        // eat  
        V(chopstick[(j + 1) % 5]);  
        V(chopstick[j]);  
    }  
}
```

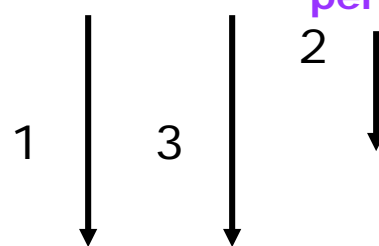


# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0, 0, 0}, s = 1 → 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]); // wait
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
    }
}
```

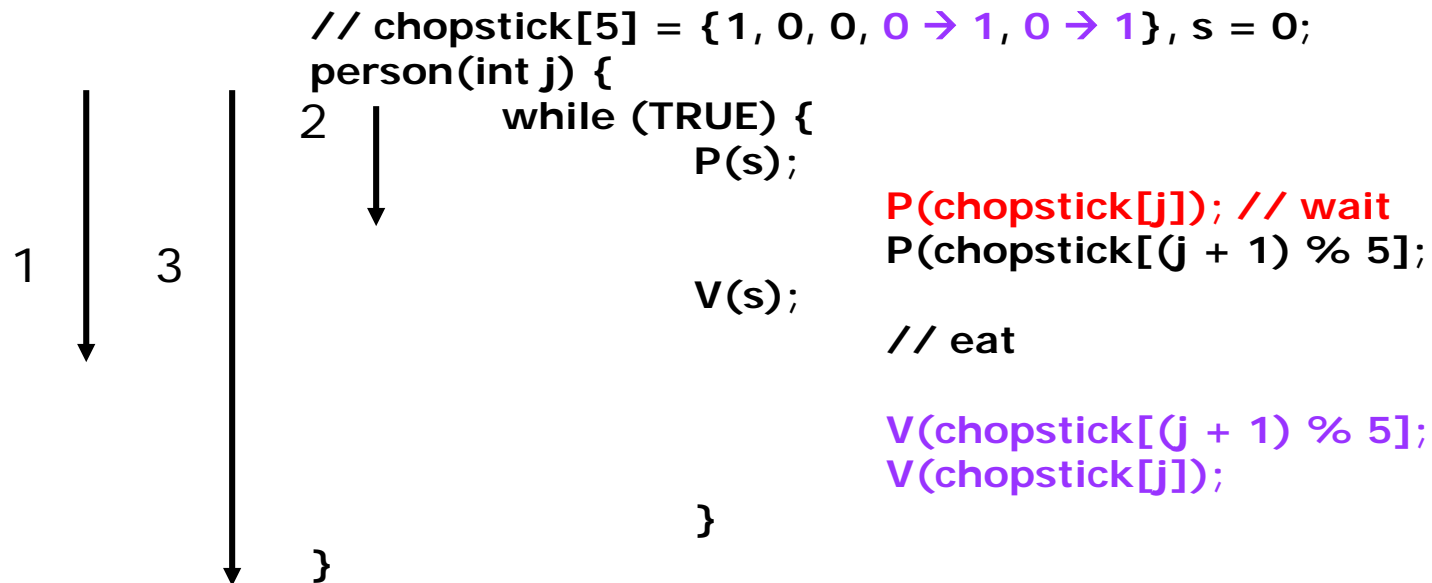


# Deadlock Prevention Techniques

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3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

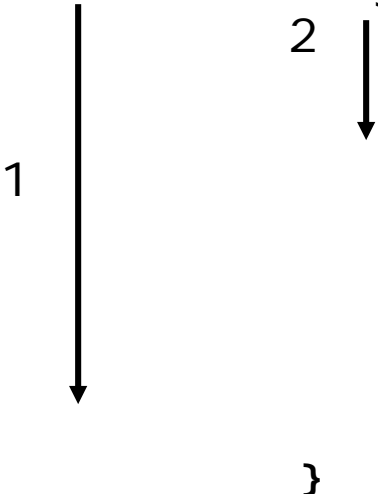
```
// chopstick[5] = {1, 0, 0, 0 → 1, 0 → 1}, s = 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]); // wait
        P(chopstick[(j + 1) % 5]);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
    }
}
```



# Deadlock Prevention Techniques

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 0 → 1, 1, 1}, s = 0;
lawyer(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]); // wait
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        V(chopstick[(j + 1) % 5]); //wakeup!
        V(chopstick[j]);
    }
}
```



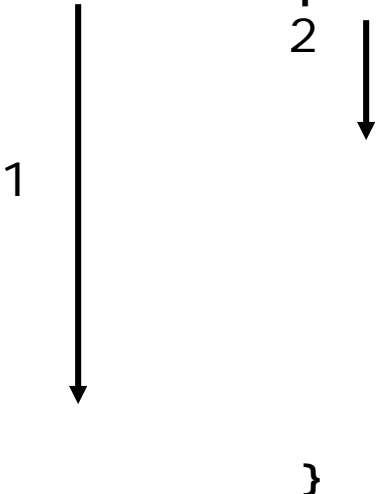


# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// chopstick[5] = {1, 0, 1 → 0, 1 → 0, 1}, s = 0;
person(int j) {
    while (TRUE) {
        P(s);
        P(chopstick[j]);
        P(chopstick[(j + 1) % 5]);
        V(s);
        // eat
        V(chopstick[(j + 1) % 5]);
        V(chopstick[j]);
    }
}
```





# More Elaborate Solution

---

- Allocate some counters
- If someone can't pick up both chopsticks after taking the lock, release the lock

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
int counter[5] = {1, 1, 1, 1, 1}; semaphore chopstick[5] = {1, 1, 1, 1, 1}, s = 1;
person(int j) {
    while (TRUE) {
        P(s);
        // if both counters j and (j + 1) % 5 > 0, decrement counters
        // and grab chopstick[j] and chopstick[(j + 1) % 5]
        V(s);
        // if holding both chopsticks, eat
        P(s);
        // release chopsticks and increment counters as needed
        V(s);
    }
}
```

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// counter[5] = {1, 0, 0, 1, 1}; chopstick[5] = {1, 0, 0, 1, 1}, s = 1;
person(int j) {
    while (TRUE) {
        P(s);
        // if both counters j and (j + 1) % 5 > 0, decrement counters
        // and grab chopstick[j] and chopstick[(j + 1) % 5]
        V(s);
        // if holding both chopsticks, eat
        P(s);
        // release chopsticks and increment counters as needed
        V(s);
    }
}
```

1  
↓  
(1, 2)

# Deadlock Prevention Techniques

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// counter[5] = {1, 0, 0, 1, 1}; chopstick[5] = {1, 0, 0, 1, 1}, s = 1;
person (int j) {
    while (TRUE) {
        P(s);
        // if both counters j and (j + 1) % 5 > 0, decrement counters
        // and grab chopstick[j] and chopstick[(j + 1) % 5]
        V(s);
        // if holding both chopsticks, eat
        P(s);
        // release chopsticks and increment counters as needed
        V(s);
    }
}
```

1  
↓  
(1, 2)  
2  
↓  
()}

# Deadlock Prevention Techniques

---

3. Allocate all resources at the beginning (if you need 2 chopsticks, grab both at the same time)

```
// counter[5] = {1, 0, 0, 0, 0}; chopstick[5] = {1, 0, 0, 0, 0}, s = 1;
person(int j) {
    1 ↓      3 ↓
    while (TRUE) {
        P(s);
        // if both counters j and (j + 1) % 5 > 0, decrement counters
        // and grab chopstick[j] and chopstick[(j + 1) % 5]
        V(s);
        // if holding both chopsticks, eat
        P(s);
        // release chopsticks and increment counters as needed
        V(s);
    }
}
```

(1, 2) (3, 4)

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {1, 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {1 → 0, 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

0  
→



# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 1 → 0, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

0 1  
→→

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 1 → 0, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

0 1 2  
→→→

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 1 → 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

0 1 2 3  
→→→→

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

0 1 2 3 4  
→→→→→

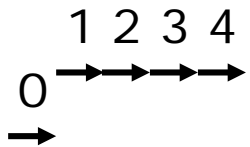
# Deadlock Prevention Techniques

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4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



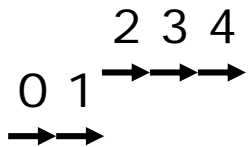
# Deadlock Prevention Techniques

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4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



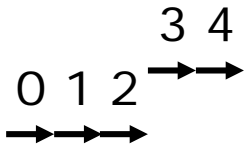
# Deadlock Prevention Techniques

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4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



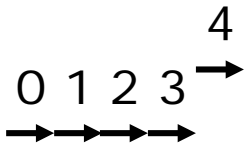
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1 → 0};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```





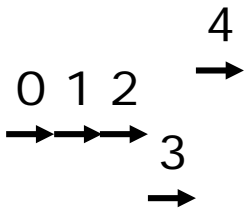
# Deadlock Prevention Techniques

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4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 0};
```

```
person(int j) {  
    while (TRUE) {  
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        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



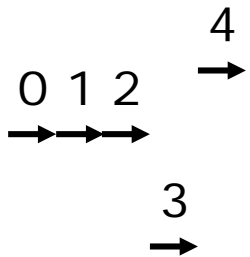
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 0 → 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



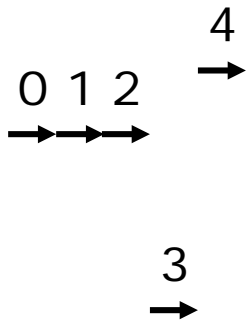
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0 → 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



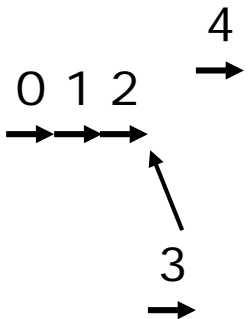
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {0, 0, 0, 1 → 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



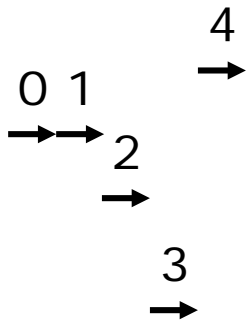
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



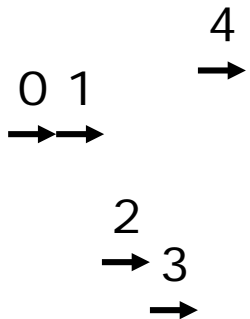
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0, 0 → 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



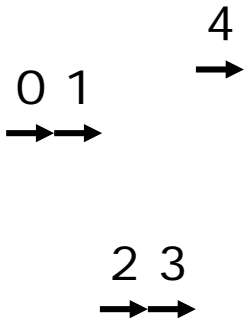
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0 → 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



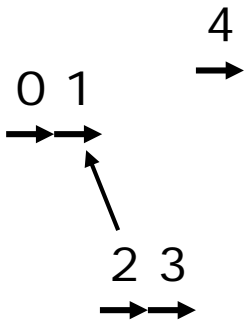
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {0, 0, 1 → 0, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```





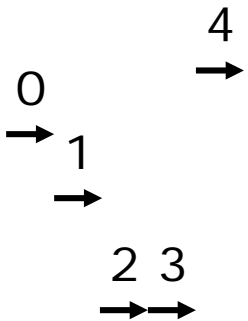
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {0, 0, 0, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



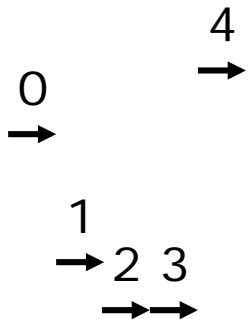
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0, 0 → 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



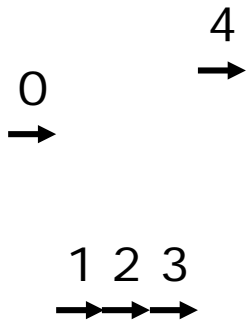
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0 → 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



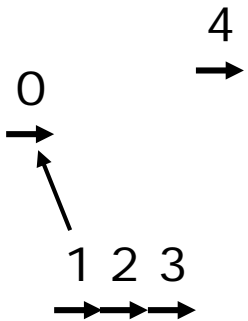
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {0, 1 → 0, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



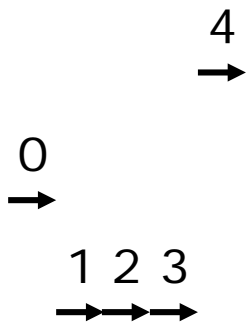
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {0, 0, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```



# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 0 → 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

4  
→

0  
→ 1 2 3  
→→→

# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0 → 1, 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

4  
→

0 1 2 3  
→→→→

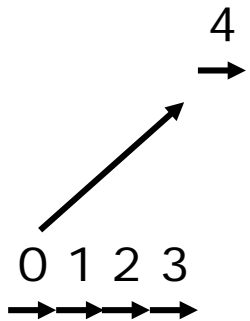
# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call  $P(x)$  before  $P(y)$ )

```
// chopstick[5] = {1 → 0, 1, 1, 1, 1};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```





# Deadlock Prevention Techniques

---

4. Make everyone use the same ordering in accessing resource (All threads must call P(x) before P(y))

```
// chopstick[5] = {0, 1, 1, 1, 1 → 0};
```

```
person(int j) {  
    while (TRUE) {  
        P(chopstick[min(j, (j + 1) % 5)]);  
        P(chopstick[max(j, (j + 1) % 5)]);  
        // eat  
        V(chopstick[max(j, (j + 1) % 5)]);  
        V(chopstick[min(j, (j + 1) % 5)]);  
    }  
}
```

4  
→

0 1 2 3  
→→→→



# More Deadlock Prevention Methods

---

5. Banker's algorithm
6. A combination of techniques

# Banker's Algorithm

---

- The idea of Banker's algorithm:
  - Allows the sum of requested resources  $>$  total resources
  - As long as, there is some way for all threads to finish without getting into any deadlocks

# Banker's Algorithm

---

- ***Banker's algorithm:***
  - A thread states its maximum resource needs in advance
  - The OS allocates resource dynamically as needed. A thread waits if granting its request would lead to deadlocks
  - A request can be granted if some sequential ordering of threads is deadlock free

# Example 1

---

- Total RAM 256 MB

	Allocated	Still needed
$P_1$	80 MB	30 MB
$P_2$	10 MB	10 MB
$P_3$	120 MB	80 MB



# Example 1

---

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	80 MB	30 MB
P <sub>2</sub>	20 MB	0 MB
P <sub>3</sub>	120 MB	80 MB

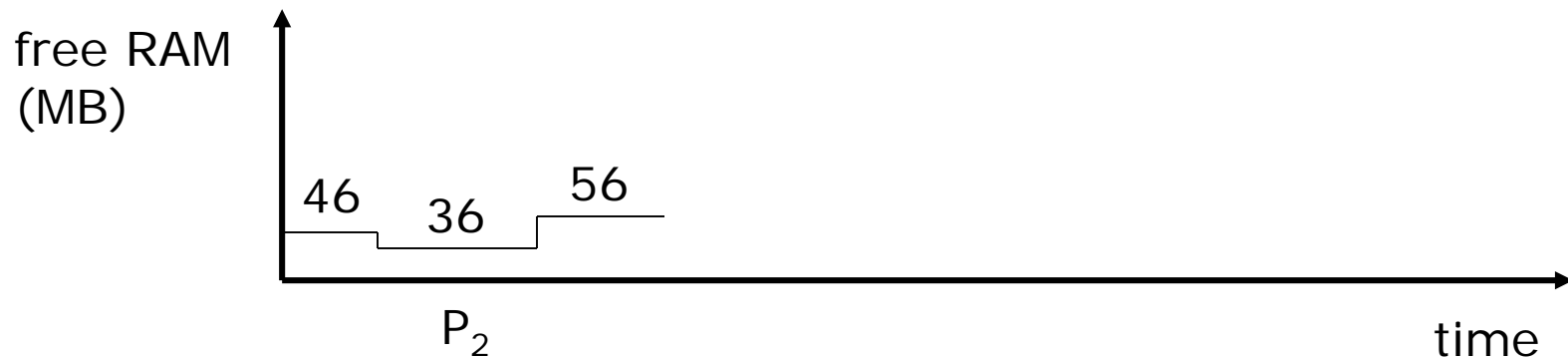


# Example 1

---

- Total RAM 256 MB

	Allocated	Still needed
$P_1$	80 MB	30 MB
$P_2$	0 MB	0 MB
$P_3$	120 MB	80 MB

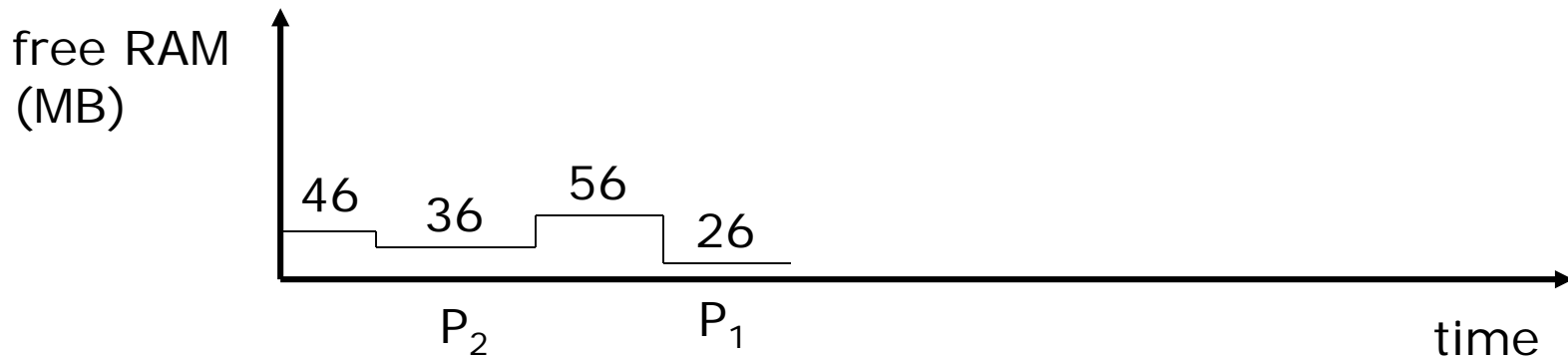


# Example 1

---

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	110 MB	0 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	120 MB	80 MB



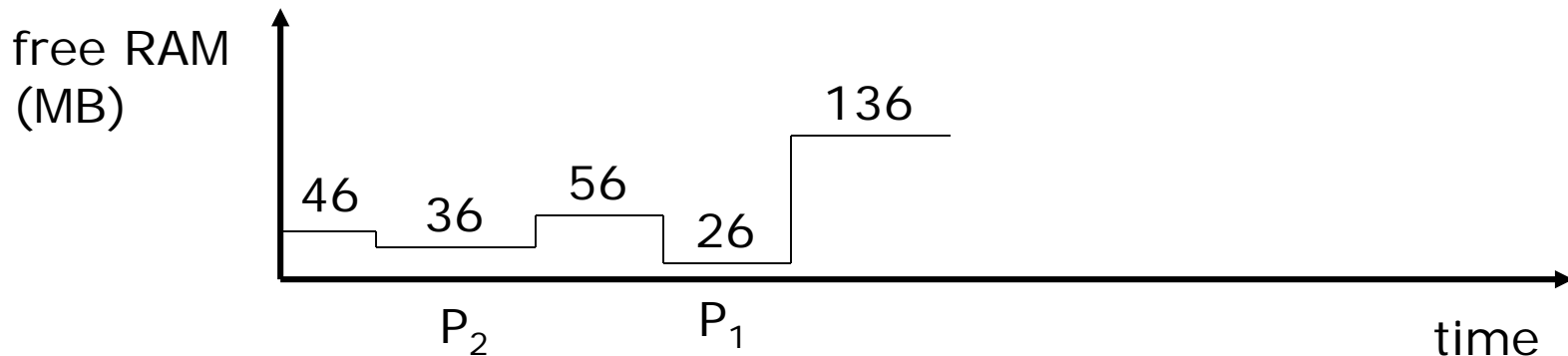


# Example 1

---

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	0 MB	0 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	120 MB	80 MB

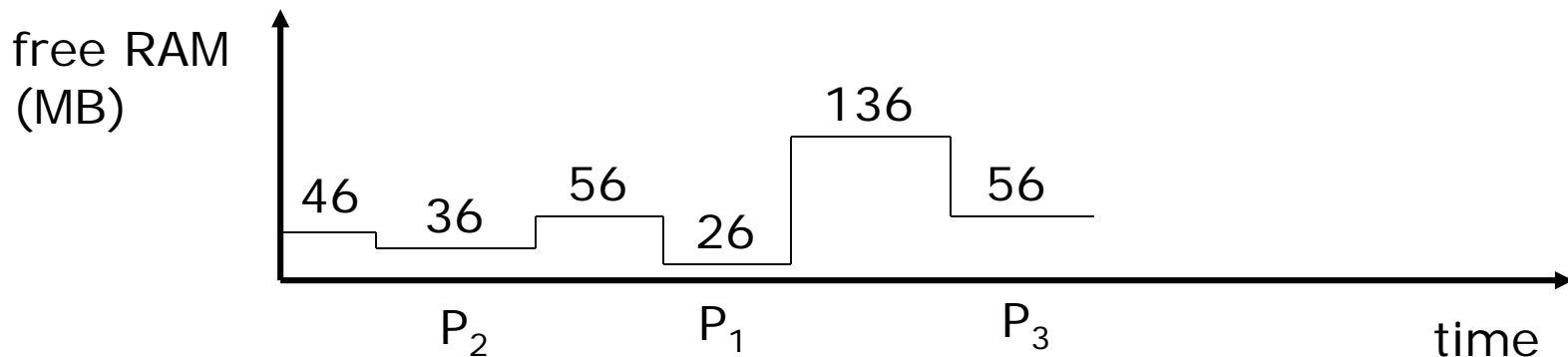


# Example 1

---

- Total RAM 256 MB

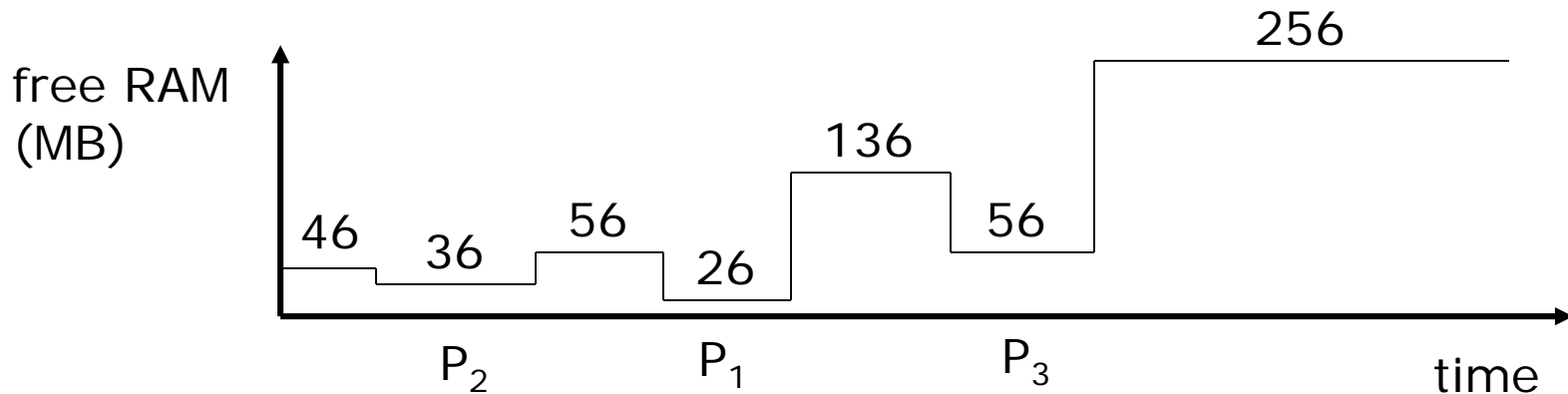
	Allocated	Still needed
P <sub>1</sub>	0 MB	0 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	200 MB	0 MB



# Example 1

- Total RAM 256 MB

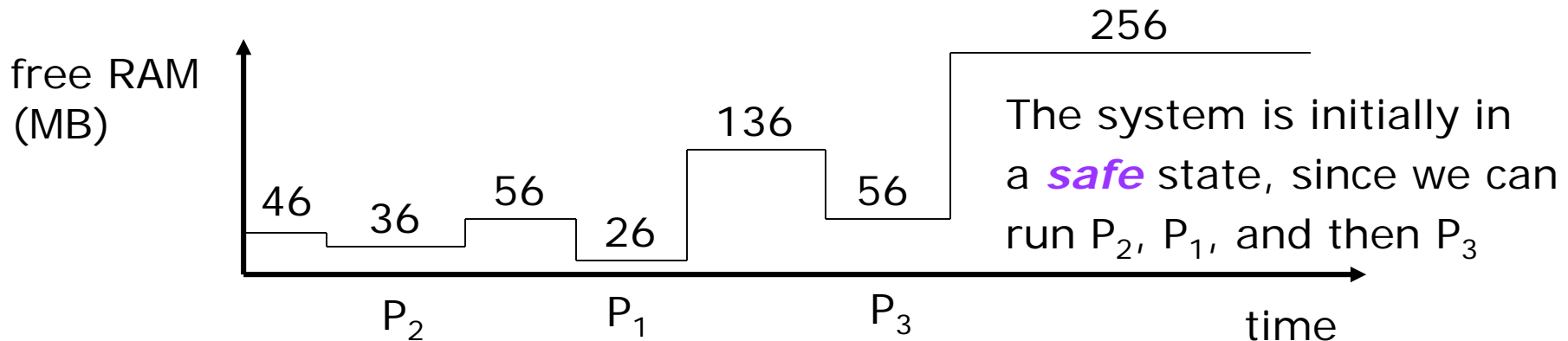
	Allocated	Still needed
P <sub>1</sub>	0 MB	0 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	0 MB	0 MB



# Example 1

- Total RAM 256 MB

	Allocated	Still needed
$P_1$	80 MB	30 MB
$P_2$	10 MB	10 MB
$P_3$	120 MB	80 MB



# Example 2

---

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	80 MB	60 MB
P <sub>2</sub>	10 MB	10 MB
P <sub>3</sub>	120 MB	80 MB



# Example 2

---

- Total RAM 256 MB

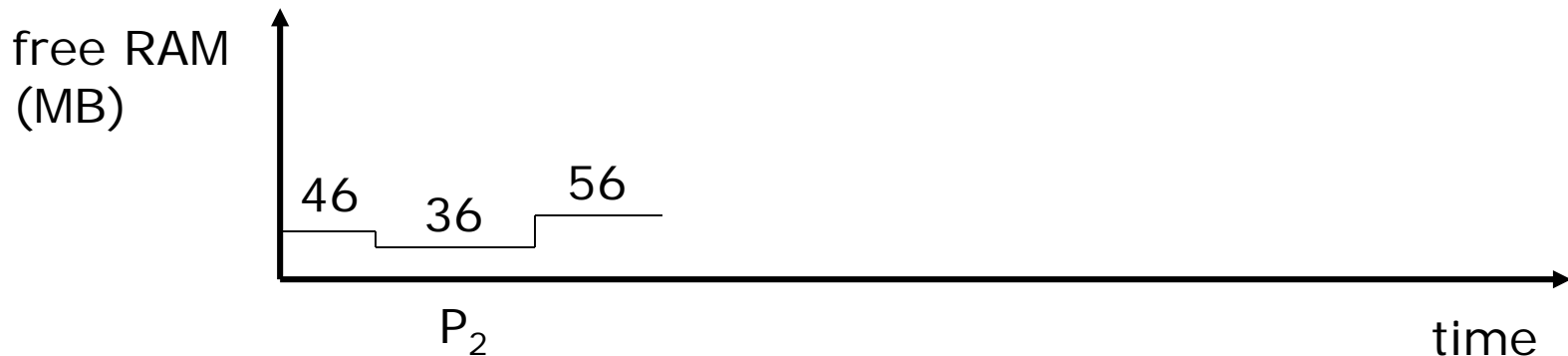
	Allocated	Still needed
P <sub>1</sub>	80 MB	60 MB
P <sub>2</sub>	20 MB	0 MB
P <sub>3</sub>	120 MB	80 MB



# Example 2

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	80 MB	60 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	120 MB	80 MB

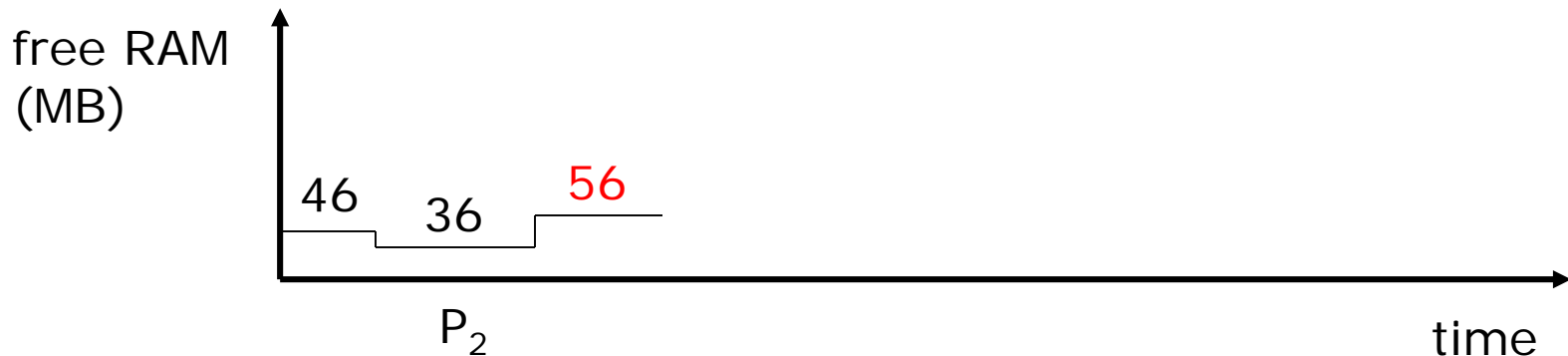


# Example 2

---

- Total RAM 256 MB

	Allocated	Still needed
P <sub>1</sub>	80 MB	60 MB
P <sub>2</sub>	0 MB	0 MB
P <sub>3</sub>	120 MB	80 MB



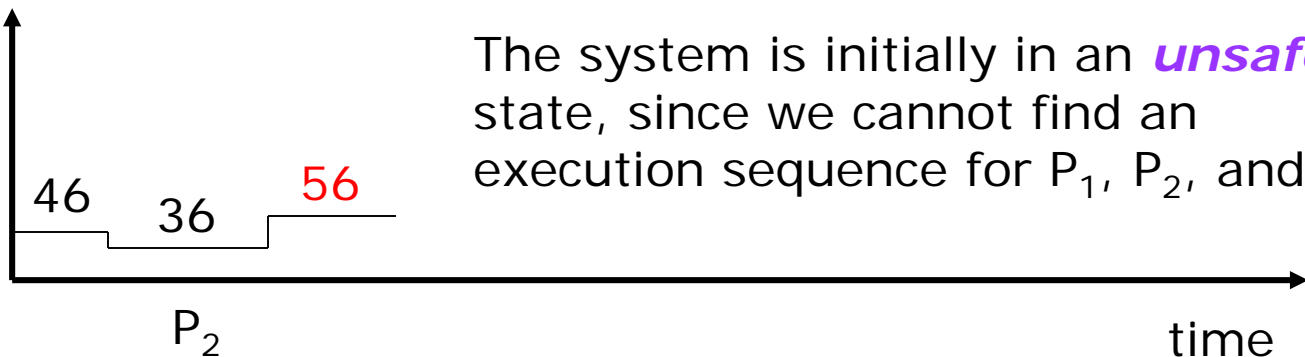


# Example 2

- Total RAM 256 MB

	Allocated	Still needed
$P_1$	80 MB	60 MB
$P_2$	10 MB	10 MB
$P_3$	120 MB	80 MB

free RAM  
(MB)





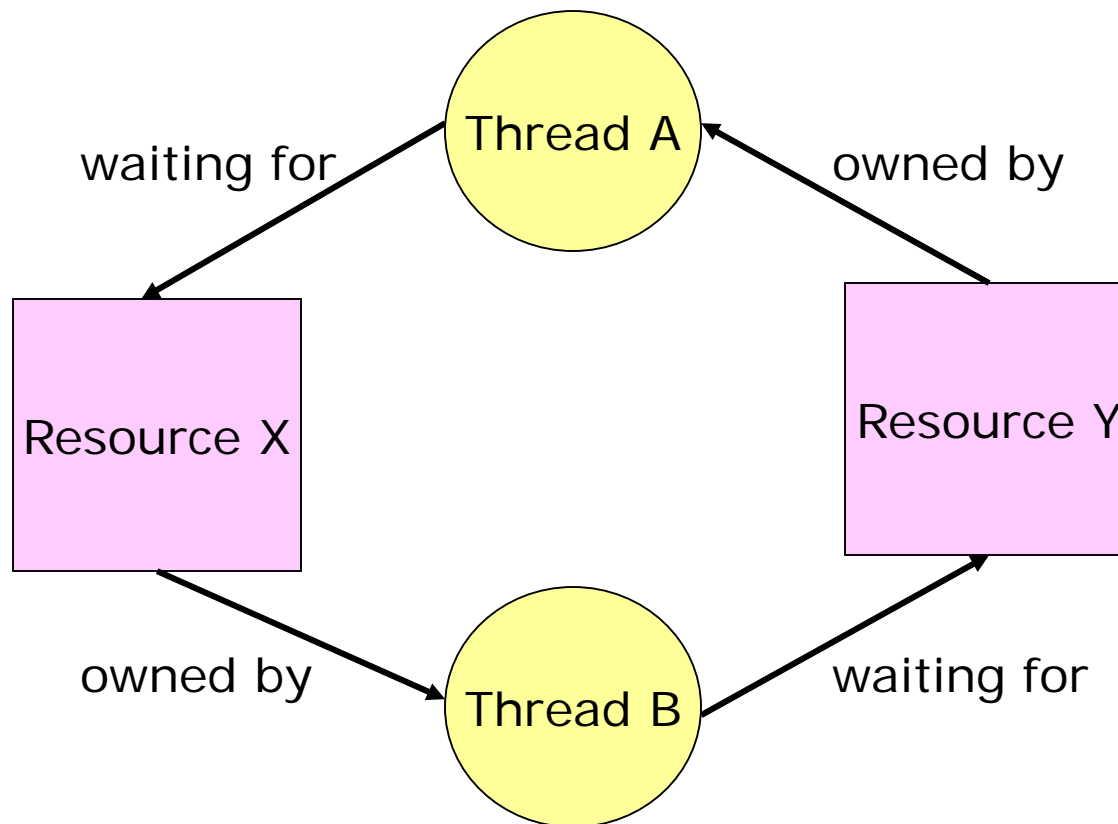
# Deadlock Detection and Recovery

---

- Scan the resource allocation graph
- Detect circular chains of requests
- Recover from the deadlock

# Resource Allocation Graph

---



# Once A Cycle is Detected...

---

- Some possible actions
  - Kill a thread and force it to give up resources
    - Remaining system may be in an inconsistent state
  - Rollback actions of a deadlocked thread
    - Not always possible (a file maybe half-way through modifications)
    - Need *checkpointing*, or taking snapshots of system states from time to time