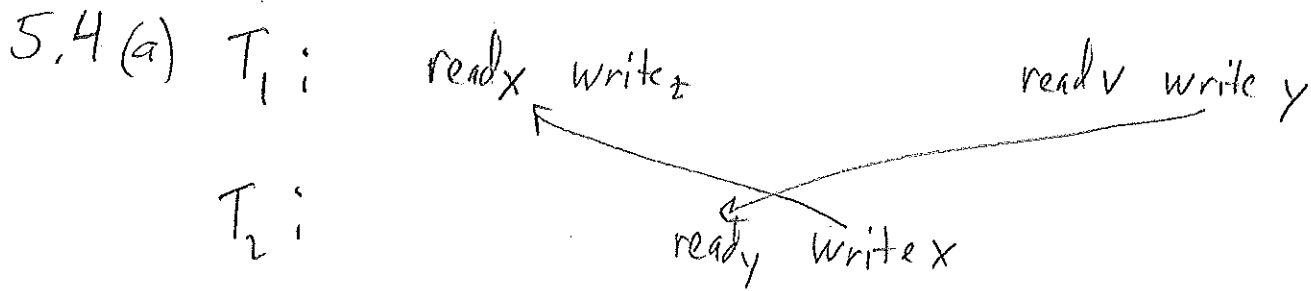


# OS HW #4 Ch. 5 Exercises 5.4, 5.5, 5.8, 5.11, 5.17

1.25, .75, .5, 1, 1.5  
= 5



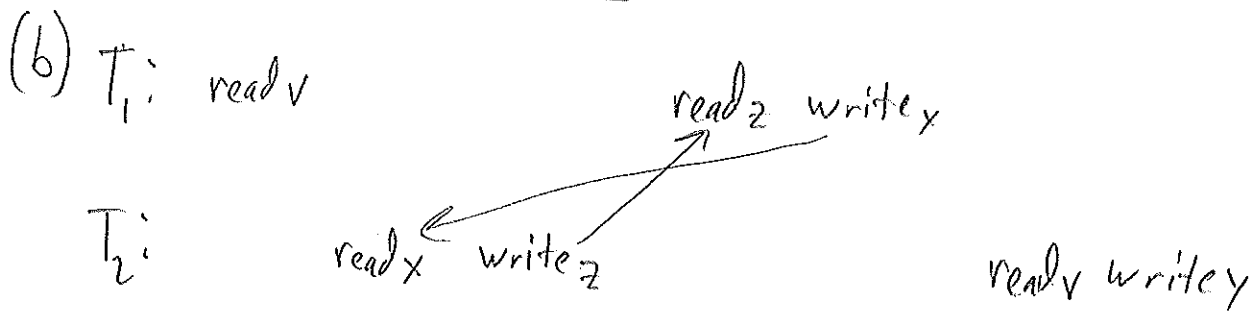
- Moving  $T_2$  before  $T_1$  causes "forbidden swaps":

$r_1(x), w_2(x)$ , etc.

- moving  $T_2$  after  $T_1$  cause "forbidden swaps":

$r_2(y), w_1(y)$

So not serializable.



Serializable if  $T_2$  and then  $T_1$

(c) Serializable since only does reads and read locks, so no forbidden transactions. Plus, transactions obey two-phase locking.

Serial order  $T_1$  then  $T_2$  or  $T_2$  then  $T_1$  are same

(d)  $T_1$ : write<sub>x</sub>

write<sub>y</sub>

$T_2$ : write<sub>x</sub> write<sub>z</sub>

$T_3$ : write<sub>z</sub> write<sub>y</sub>

$T_2$  and  $T_3$  are serializable, but their order must be fixed as  $T_2$  then  $T_3$  because of the write on  $z$ .

$T_2$  can move in front of  $T_1$  due to write on  $x$  and

$T_2$ - $T_3$  cannot move after  $T_1$  due to  $T_3$ 's and  $T_1$ 's write on  $y$ .

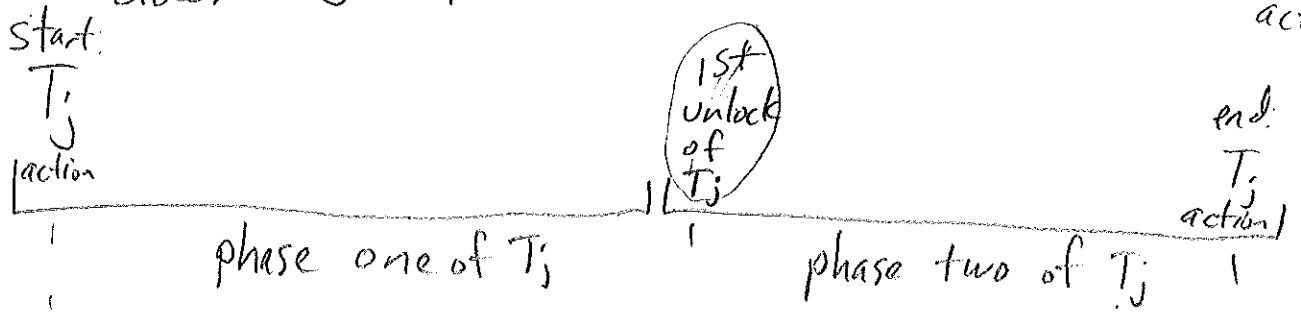
Thus, Not serializable

(e) All transactions follow two-phase locking so serializable.

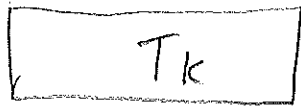
Serial Order:  $T_2, T_1, T_3$

5.5. Histories (c) and (e) obey two-phase locking.

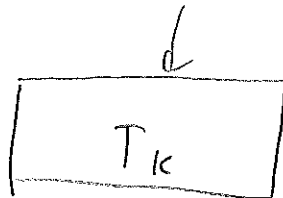
5.8 Consider  $T_j$ 's phase two which starts with its 1<sup>st</sup> unlock action



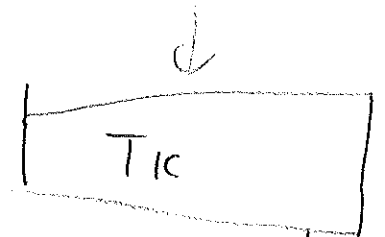
Recall that  $T_k$  being pure means that no actions from  $T_j$  occur within  $T_k$ .



can not straddle the starting action of  $T_j$  or  $T_k$  would not be pure



cannot straddle the start of phase two of  $T_j$  or  $T_k$  would not be pure



$T_k$  cannot straddle the end of  $T_j$  or  $T_k$  would not be pure

Thus,  $T_k$  must be entirely in  $T_j$ 's phase one or  $T_j$ 's phase two.

5.11 Clearly an infinite number of examples could be devised by you.

Simple answer: If we process the undo log entries in reverse chronological order, then the state should be restored to when the transaction started.

5.17  $T_1$  writes  $x$  and  $y$

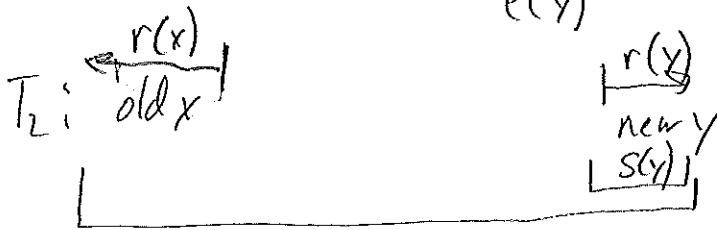
$T_2$  read  $x$  and  $y$

Is it possible for  $T_2$  to see the old value of  $x$ , but the new value of  $y$ ?

With two-phase locking the answer is No.

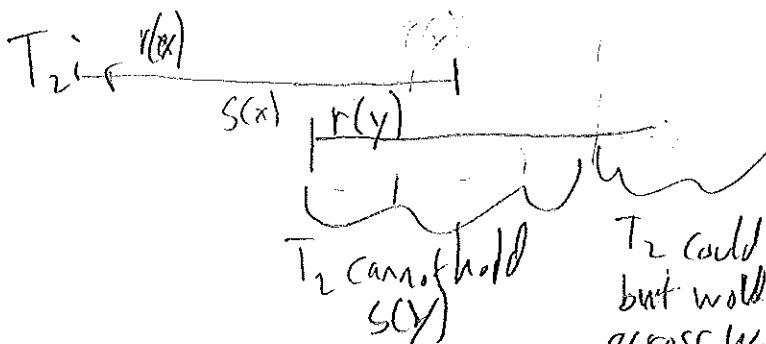
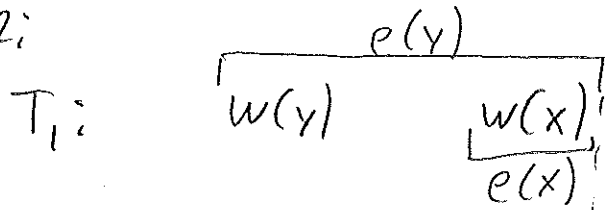
We don't know the order  $T_1$  writes  $x$  and  $y$   
so we must show neither is possible

case 1:  
 $T_1$ :  $\overbrace{w(x) \quad w(y)}^{e(x)}$   $T_1$  follows two-phase locking  
 $\underbrace{\quad \quad \quad}_{e(y)}$



$T_2$  must hold  $S(x)$  or it cannot acquire  $S(y)$ . Conflict on  $S_2(x)$  and  $w_1(x)$

case 2:

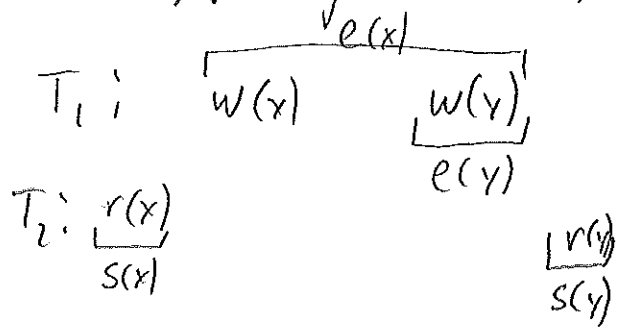


$T_2$  cannot hold  $S(y)$

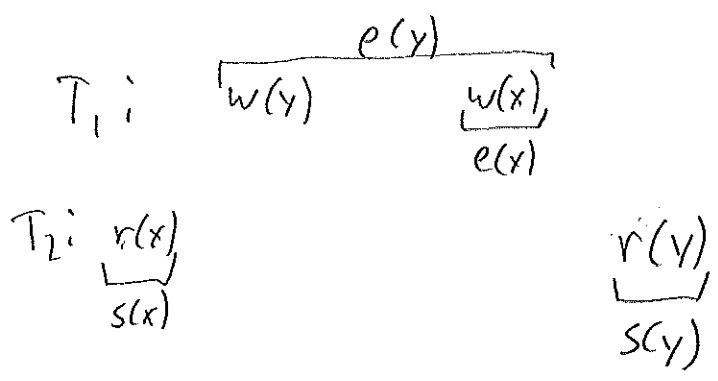
$T_2$  could hold  $S(y)$  but would need  $S_2(x)$  across  $w_1(x)$

Read committed isolation - acquire shared lock before each read operation and release it immediately after read.

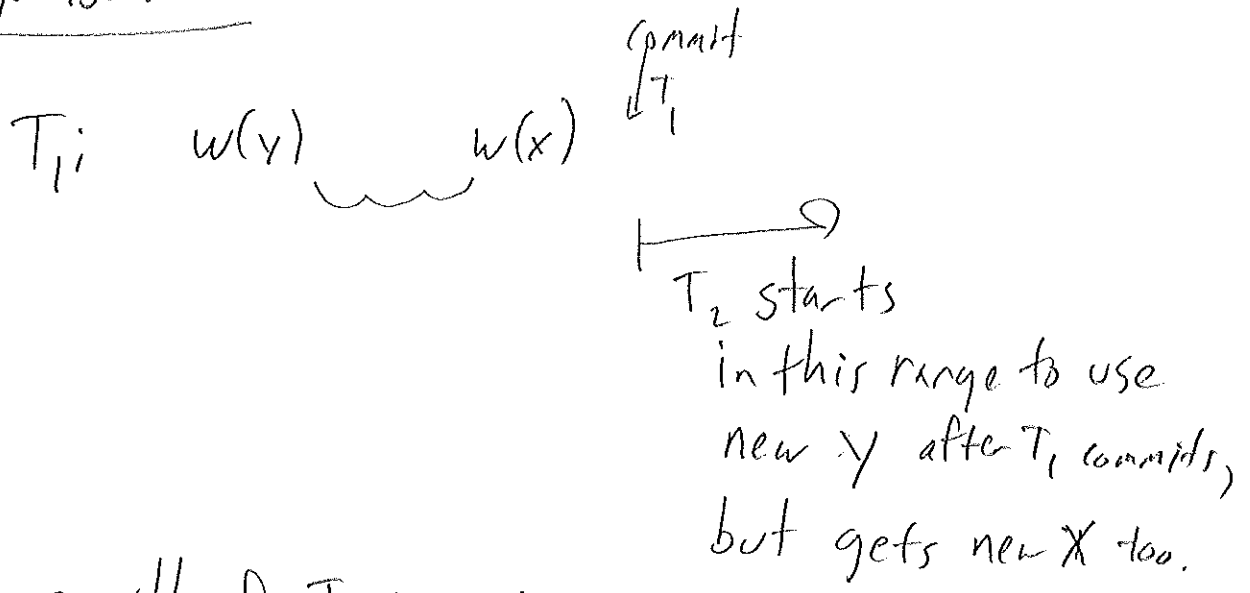
Yes,  $T_2$  could see the old value of  $x$ , but new value of  $y$ , regardless of order  $T_1$  writes



or



Snapshot isolation:



No, not possible for  $T_2$  to read new  $y$ , but old  $x$ ,